

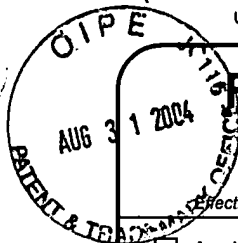
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PTO/SB/17 (10-03)

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# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 180

## Complete If Known

Application Number 09/868,682

Filing Date September 4, 2001

First Named Inventor Nichols

Examiner Name Hirl

Art Unit 2121

Attorney Docket No. 05222.00159

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None  
Order

☒ Deposit Account:

Deposit  
Account  
Number

19-0733

Deposit  
Account  
Name

Banner & Witcoff, LTD.

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments  
☒ Charge any additional fee(s) during the pendency of this application  
☐ Charge fee(s) indicated below, except for the filing fee  
to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1)

(\$ 0

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

		Extra Claims	Fee from below	Fee Paid
Total Claims		0	X	0
Independent Claims		0	X	0
Multiple Dependent			X	0

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$ 0

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	180
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$ 180

## SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Kenneth F. Smolik	Registration No. (Attorney/Agent)	44,344	Telephone	312-463-5000
Signature				Date	August 31, 2004

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Application Number	09/868,682
Filing Date	September 4, 2001
First Named Inventor	Nichols
Group Art Unit	2121
Examiner Name	Hirl
Attorney Docket Number	05222.00159

Total Number of Pages in This Submission

**ENCLOSURES (check all that apply)**☒ Fee Transmittal Form☐ Fee Attached☐ Amendment / Response☐ After Final☐ Affidavits/declaration(s)☐ Extension of Time Request☐ Express Abandonment Request☒ Supplemental Information  
Disclosure Statement☐ Certified Copy of Priority  
Document(s)☐ Response to Missing Parts/  
Incomplete Application☐ Response to Missing  
Parts under 37 CFR  
1.52 or 1.53☐ Assignment Papers  
(for an Application)☐ Drawing(s)☐ Licensing-related Papers☐ Petition☐ Petition to Convert to a  
Provisional Application☐ Power of Attorney, Revocation  
Change of Correspondence Address☐ Terminal Disclaimer☐ Request for Refund☐ CD, Number of CD(s)☐ After Allowance Communication to  
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(Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☒ Other Enclosure(s)  
(please identify below):**Supplemental Form PTO/SB08a****93 References****Certificate of Express Mail****Return Receipt Requested****Remarks**

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**Express Mail No. EV 306401186 US****SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

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or

Individual name

Kenneth F. Smolik  
Banner & Witcoff, Ltd.

Signature

*Kenneth F. Smolik*

Date

August 31, 2004

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CERTIFICATE OF EXPRESS MAIL  
(PATENT)

Attorney Docket No. 05222.00159

Express Mail No. EV 306401186 US  
Deposited August 31, 2004

I hereby certify that the attached correspondence, identified below, is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" under 37 CFR §1.10 on the date indicated above and is addressed to Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

By: \_\_\_\_\_

Nichols, U.S. Patent Application No. 09/868,682 for "A GOAL BASED SYSTEM UTILIZING AN ACTIVITY TABLE"

- Transmittal Form (in duplicate)
- Fee Transmittal (in duplicate)
- Supplement Information Disclosure Statement (8 pages)
- Supplemental PTO/SB/08a (4 pages)
- 93 References
- Return Receipt Postcard



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Attorney Docket No. 05222.00159)

In re U.S. Patent Application of Nichols, et. )  
al. )  
Application No. 09/868,682 ) Examiner: Hirl  
Filed: September 4, 2001 ) Group Art Unit: 2121  
For: A GOAL BASED SYSTEM UTILIZING )  
AN ACTIVITY TABLE )

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR §§1.97 and 1.98, the Applicant wishes to make the following references of record in the above-identified application. This Supplemental Information Disclosure Statement is in compliance with the continuing duty of candor as set forth in 37 CFR §1.56. Copies of the references cited below are enclosed. The references are also listed on the enclosed and completed Form PTO/SB/08A.

The Commissioner is authorized to charge the fees associated with this paper to Deposit Account No. 19-0733.

Under 37 CFR §1.97(g), the filing of this Supplemental Information Disclosure Statement shall not be construed as a representation that a search has been made.

Under 37 CFR §1.97(h), the filing of this Supplemental Information Disclosure Statement shall not be construed to be an admission that the cited references are, or are considered to be, material to patentability as defined in 37 CFR §1.56(b).

The present patent application is related to the following co-pending patent applications:

09/502,348	09/218,977	09/218,726	09/868,664	09/868,713
09/219,055	09/218,749	09/218,995	09/868,669	09/868,667
09/219,080	09/219,479	09/218,945	09/868,693	10/008,265
09/305,719	09/219,524	09/221,608	09/868,698	10/018,062
09/219,480	09/219,477	09/629,367	09/868,678	09/934,924
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09/218,741	09/219,201	09/887,188	09/868,694	
09/502,142	09/219,079	10/007,824	09/868,752	
09/219,086	09/218,906	09/887,824	09/868,708	
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2. EP 0 710 942 A2, published 05/08/96
3. EP 0 798 655 A2, published 10/01/97
4. WO 00/04478, published 01/27/00
5. WO 98/03953, published 01/29/98
6. WO 98/25251, published 06/11/98
7. WO 98/32109, published 07/23/98

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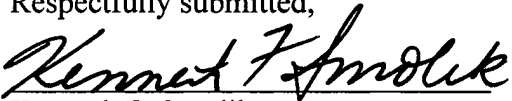


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Sheet 1 of 4

## **Complete if Known**

Application Number	09/868,682
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First Named Inventor	Nichols
Art Unit	2121
Examiner Name	Hirl
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